



U.S. Securities and Exchange Commission

## Office of Investor Education and Advocacy

OMB Number: 3235-0547  
Expires: August 31, 2026  
Estimated average burden  
hours per response: 0.25

### Investor Questions and Feedback Form

Please use this form to send us your questions and comments. If you have a complaint about your broker or a securities transaction, you will get better results by using our online Complaint Center.

Although we use secure socket layer encryption, do not hesitate to print this form and send it by mail or fax if you have any concerns about security. Please read our Privacy Act Notice to learn more about how we may use the information you send to us.

#### **Tell Us About Yourself** (\*You must complete this information)

Title \_\_\_\_\_

First name\* \_\_\_\_\_ Middle initial \_\_\_\_\_

Last name\* \_\_\_\_\_

Daytime phone \_\_\_\_\_

E-mail\* \_\_\_\_\_

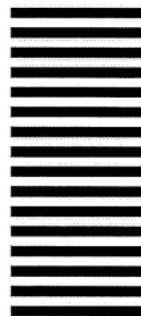
#### **Please Enter Your Question or Feedback**

This collection of information has been reviewed by the Office of Management and Budget (OMB) in accordance with the clearance requirements of 44 U.S.C. §3507. The applicable Privacy Act system of records (SORN) is SEC 65 and the routine uses of the records are set forth at 76 FR 30213 (May 24, 2011). This SORN is exempt from certain sections of the Privacy Act and the citation for the rule exempting the notice is 76 FR 57636 (September 16, 2011). <https://www.sec.gov/oiea/Complaint.html>

To mail back to the SEC, please fold this document in half, secure with tape, and mail.



NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES



POSTAGE WILL BE PAID BY ADDRESSEE

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
ATTN:OIEA  
100 F ST NE  
WASHINGTON DC 20077-9366

